

02-25-02

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

02/21/02 10966 U.S. PAT. FORM 100 (Only for new nonprovisional applications under 37 CFR 1.53(b))	<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket No. <b>JJL15</b>
			First Inventor <b>SUNG</b>
			Title
			Express Mail Label No. <b>EL751999452US</b>

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
---	---

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages <b>31</b> ] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>20</b> ] 5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <b>3</b> ] a. <input checked="" type="checkbox"/> Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) b. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies <b>ACCOMPANYING APPLICATION PARTS</b> 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/100 or its equivalent. 17. <input type="checkbox"/> Other:
---	---

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	Prior application No.: _____
---------------------------------------	-------------------------------------	---	------------------------------

Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)	or	<input type="checkbox"/> Correspondence address below
--	---	----	---

Name	Loudermilk & Associates		
Address	10950 N. Blaney Avenue		
	Suite B		
City	Cupertino	State	CA
Country	USA	Zip Code	95014
Telephone	408-342-1866	Fax	342-1868

Name (Print/Type)	Alan R. Loudermilk	Registration No. (Attorney/Agent)	32,788
Signature		Date	2/21/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

The PTO did not receive the following listed item(s) 10, Fee Transmittal

**BOX PATENT APPLICATION**THE COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231Express Mail Label No.: EL751999452US

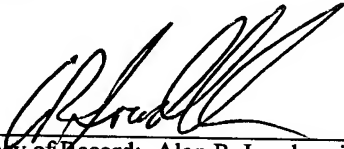
Sir:

Transmitted herewith for filing is the patent application of:

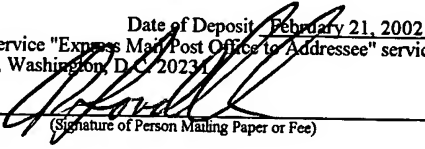
Inventor(s): Wayne D. Jung, Russell W. Jung, Walter W. Sloan, and Alan R. LoudermilkFor (Title): MINIATURIZED SYSTEM AND METHOD FOR MEASURING OPTICAL CHARACTERISTICS

Enclosed are:

- ☒ Utility Patent Application transmittal letter
- ☒ Fee Transmittal (original + 1 copy)
- ☒ Specification 31 pages
- ☒ 20 sheets of drawings. ☐ Formal ☒ Informal
- ☒ An assignment of the invention to LJ Laboratories, L.L.C.
- ☒ A declaration and power of attorney (signed).
- ☒ Applicant claims Small Entity status.

Dated February 21, 2002  
Attorney of Record: Alan R. LoudermilkReg. No. 32,788**CERTIFICATE OF "EXPRESS MAIL" UNDER 37 CFR 1.10**"EXPRESS MAIL" Mailing Label Number EL751999452USDate of Deposit February 21, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

ALAN R. LOUDERMILK  
(Typed or Printed Name of Person Mailing Paper or Fee)  
(Signature of Person Mailing Paper or Fee)